

# Syntactic Analysis: Bottom-up parsing

# Key Ideas

- *Review*: Lexical analysis is done by the tokenizer, which constructs lexemes from the source file and categorizes them into tokens. The  $\langle \text{token type, lexeme} \rangle$  pair is sent to the parser to build phrases.
- *Review*: The parser drives the tokenizer
- **Parsing**: check for grammatical correctness and determine a sentence's phrase structure
- General parsing strategies:
  - *Top-down*: from the start symbol down to the terminals
  - *Bottom-up*: from terminals up to the start symbol
- **Shift-reduce parsing**: a bottom-up parsing strategy
- The parsing strategy puts constraints on the form of the grammar

# Bottom-up parsing

- Start with input string, end with start symbol
- Apply productions in reverse to the input, replacing the right-hand side (RHS) of a production with the left-hand-side (LHS) non-terminal.
  - This is called a *reduction*.
  - Loosely, a *handle* is the RHS to be replaced
- The result is a rightmost derivation, in reverse.
- Bottom-up parsers can use current stack and a lookahead symbol to choose the production to apply
  - Reads its target string from **L**eft to right tracing a **R**ight-most derivation (in reverse)
  - Often referred to as an **LR parser**

# Bottom-up parsing example

Consider the grammar and input string `abbcde`.

1.  $S \rightarrow a A B e$
2.  $A \rightarrow A b c$
3.  $\quad \rightarrow b$
4.  $B \rightarrow d$

Produce a rightmost derivation in reverse for `abbcde` using bottom-up parsing.

<b>Production</b>	<b>Sentential Form</b>	<b>Handle</b>
-	<code>abbcde</code>	$A \leftarrow b$
3	<code>aAbcde</code>	$A \leftarrow A b c$
2	<code>aAde</code>	$B \leftarrow d$
4	<code>aABe</code>	$S \leftarrow a A B e$
1	<code>S</code>	-

The problem is deciding when to reduce and which *RHS (handle)* to use

# Idea

- Split the input into two parts
  - Left substring is our work area; all handles must be here
  - Right substring is input we have not yet processed
- **Shift**: move a terminal across the split
- **Reduce**: reduce a handle

# Example

Grammar rules:

1	$P \rightarrow '( S )'$
2	$S \rightarrow X ', X'$
3	$X \rightarrow 'a'$
4	$\rightarrow 'b'$

Step	Rewritten string	↑	Action	Comment
1	$\epsilon$	↑	( a , a )	shift No handle so <i>shift</i> '('

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4	$( X$	↑	$, a )$	shift No reducible handle, so <i>shift</i> ','
5	$( X ,$	↑	$a )$	shift No reducible handle, so <i>shift</i> 'a'

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7	( X , X	↑	)	reduce <i>reduce</i> 'X , X' to 'S' (grammar rule 2)

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8	( S	↑	)	shift No reducible handle, so <i>shift</i> ')'

# Example

Grammar rules:

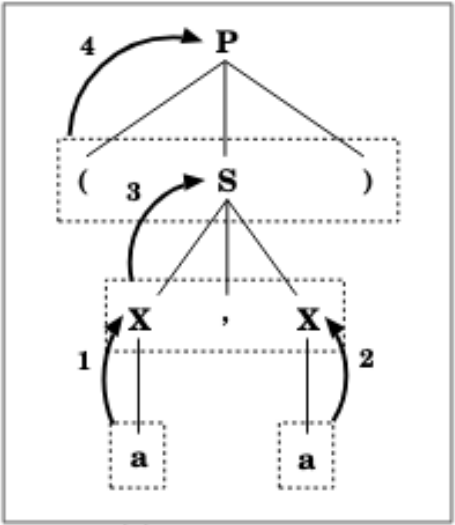
1	$P \rightarrow '( S )'$
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Step	Rewritten string	Action	Comment
1	$\epsilon \uparrow ( a , a )$	shift	No handle so <i>shift</i> '('
2	$( \uparrow a , a )$	shift	'(' can't be reduced, so <i>shift</i> 'a'
3	$( a \uparrow , a )$	reduce	<i>reduce</i> 'a' to 'X' (grammar rule 3)
4	$( X \uparrow , a )$	shift	No reducible handle, so <i>shift</i> ','
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8	$( S \uparrow )$	shift	No reducible handle, so <i>shift</i> ')'
9	$( S ) \uparrow \epsilon$	reduce	<i>reduce</i> '( S )' to 'P' (grammar rule 1)

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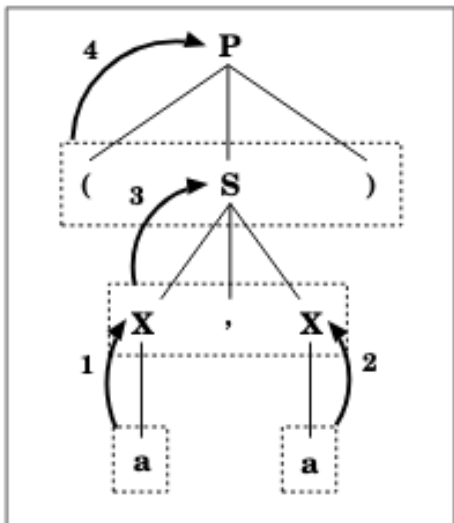


(c) Parse tree

Step	Rewritten string	Action	Comment
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7	$( X , X \uparrow )$	reduce	<i>reduce</i> 'X , X' to 'S' (grammar rule 2)
8	$( S \uparrow )$	shift	No reducible handle, so <i>shift</i> ')'
9	$( S ) \uparrow \epsilon$	reduce	<i>reduce</i> '( S )' to 'P' (grammar rule 1)
10	$P \uparrow \epsilon$	accept	P is start symbol and input is empty

# Pruning the leaves

- Consider the parse tree that is constructed
- We prune the tree by deleting the leaves corresponding to the right hand side of some production
- In the reverse of a rightmost derivation, we always prune the leftmost prunable node
- These leaves represent the handle of the immediate string obtained by concatenating the leaves of the tree



(c) Parse tree



# LR(1) Grammars

- Informally, we say that a grammar  $G$  is LR(1) if we can find the sequence of handles for a reverse rightmost derivation using at most 1 token of lookahead past the end of the handle.

## Properties

- Efficient shift-reduce parsers can be implemented for LR(1) grammars
- Disadvantage: hard to create by hand; LR parser generators exist

# An LR(1) Grammar

1.  $E \rightarrow E + T$
2.  $E \rightarrow T$
3.  $T \rightarrow T * F$
4.  $T \rightarrow F$
5.  $F \rightarrow ( E )$
6.  $F \rightarrow \text{identifier}$

**In-class:** Get into assigned groups. Have one person open a word document and share their screen. As a group, use shift/reduce to parse the string `id + id * id`. Submit your document to Moodle.